

Smooth morphisms

Definition 1 *A morphism $f: X \rightarrow Y$ is smooth if it is locally of finite presentation and formally smooth.*

It is clear from the definition that I is an ideal in an R -algebra A , then the map $\mathbf{A}^n(A) \rightarrow \mathbf{A}^n(A/I)$ is surjective. This is in particular true if I is nilpotent so that $\mathbf{A}^n \rightarrow \text{Spec } R$ is formally smooth, hence smooth.

Theorem 2 *Let $f: Z \rightarrow Y$ be a smooth morphism of schemes, let $i: X \rightarrow Z$ be a closed immersion defined by a sheaf of ideals I , which we assume to be of finite type. Then the following are equivalent:*

1. X/Y is smooth.
2. The map $I/I^2 \rightarrow i^*\Omega_{Z/Y}$ is injective and locally split.

Proof: Let $T \rightarrow T'$ be a first order thickening of affine Y -schemes, with ideal I_T , $h: T \rightarrow X$ be a morphism. Since Z/Y is smooth, h can be deformed to a map $h': T \rightarrow Z$. We need h' to factor through X , *i.e.*, we need

$$h'^*: I_X \rightarrow h_* I_T$$

to be zero. Since $I_T^2 = 0$, this map factors through I_X/I_X^2 . Splitting

$$\sigma: i^*\Omega_{Z/Y} \rightarrow I_X/I_X^2$$

composed with h'' gives

$$\Omega_{Z/Y} \rightarrow h_* I_T.$$

Use this to change the deformation h' to a new one which works.

For the converse, look at the first infinitesimal nbd. X_1 of X in Z . Smoothness gives a deformation $X_1 \rightarrow X$, and we use this to get a section as before. \square

Corollary 3 *If X/Y is smooth, $\Omega_{X/Y}$ is locally free.*

Corollary 4 *Let Z/Y be a smooth morphism and let $i: X \rightarrow Z$ be a closed immersion with ideal I , and let x be a point of X . Then the following are equivalent:*

1. There is an open neighborhood U of x which is smooth over Y .
2. The map $I(x) \rightarrow \Omega_{Z/Y}(x)$ induced by d is injective.

Proof: Suppose (2) holds. Choose a basis for the k -vector space I/mI and lift it to a sequence (a_1, \dots, a_r) in I_x . By Nakayama's lemma, this sequence generates I_x . By hypothesis, the image of (a_1, \dots, a_r) in $\Omega_{Z/k}(x)$ is linearly independent, and hence can be extended to a basis $\omega \cdot (x)$ for $\Omega_{Z/k}(x)$. Since Z/k is smooth, $\Omega_{Z/k,x}$ is a free $\mathcal{O}_{Z,x}$ -module, any lift $\omega \cdot$ of $\omega \cdot (x)$ to $\Omega_{Z/k,x}$ will be a basis. It follows that the map $I_x/I_x^2 \rightarrow i^*\Omega_{Z/k,x}$ is injective and locally split. The same holds in some neighborhood of x , so (1) follows from Theorem 2. The proof that (1) implies (2) is immediate from this theorem. \square

Theorem 5 *Let $X \rightarrow Z \rightarrow Y$ be morphisms of schemes. Assume that X/Y and Z/Y are smooth. Then X/Z is smooth if and only if locally on X the map $g^*\Omega_{Z/Y} \rightarrow \Omega_{X/Y}$ is injective and locally split.*

Corollary 6 *Let $X \rightarrow Y$ be a smooth morphism. Then, locally on X , there exists an étale factorization $X \rightarrow \mathbf{A}_Y^n \rightarrow Y$ of X/Y .*

Proof: Let x be a point of X . The image of $\mathcal{O}_{X,x} \rightarrow \Omega_{X/Y}(x)$ generates the $k(x)$ -vector space $\Omega_{X/Y}(x)$, so there exists a sequence (a_1, \dots, a_n) in $\mathcal{O}_{X,x}$ whose image is a basis for $\Omega_{X/Y}(x)$. Get map $g: X \rightarrow \mathbf{A}_Y^n$ with $g^*t_i = a_i$ and $g^*dt_i = da_i$. Then

$$g^*\Omega_{\mathbf{A}_Y^n/Y} \rightarrow \Omega_{X/Y}$$

is an isomorphism. By the previous result, X/Y is smooth, and since $\Omega_{X/\mathbf{A}^n d_Y} = 0$, it is also unramified. \square

Example 7 *Let X be the closed subscheme of affine two space over $\mathbf{Z}[t]$ defined by $(x_1^3 + x_2^3 + 1 - 3tx_1x_2)$. Compute where X/\mathbf{Z} is smooth and where $X/\text{Spec } \mathbf{Z}[t]$ is smooth. Do the same for the equation $t(x_1^3 + x_2^3 + 1) - 3x_1x_2$, and for $t(x_1^4 + x_2^4 + x_3^4 + 1) - 4x_1x_2x_3$.*